

# Second Examination *solutions*

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**Problem 1.** Write the following ordinals in Cantor normal form (that is, in base  $\omega$ ). All exponents should themselves be in normal form. (Exception: The exponent 1 and the coefficient 1 need not be written. In strict normal form,  $\omega$  should be written as  $\omega^{\omega^0}$  or even  $\omega^{\omega^{0.1}} \cdot 1$ ; but this is not required.)

**Solution.**

a)  $\omega + 2$  [already in normal form]

j)  $2^{\omega+2} = 2^\omega \cdot 2^2 = \omega \cdot 4$

b)  $2 + \omega = \omega$

k)  $(\omega + 2)^\omega = \omega^\omega$

c)  $\omega^2 + \omega$  [already in normal form]

l)  $(\omega + 2)^{\omega+2} = (\omega + 2)^\omega \cdot (\omega + 2)^2 = \omega^\omega \cdot (\omega^2 + \omega \cdot 2 + 2) = \omega^{\omega+2} + \omega^{\omega+1} \cdot 2 + \omega^\omega \cdot 2$  [by (k) and (i)]

d)  $\omega + \omega^2 = \omega^2$

e)  $(\omega + 2) \cdot 2 = \omega \cdot 2 + 2$

f)  $2 \cdot (\omega + 2) = 2 \cdot \omega + 2 \cdot 2 = \omega + 4$

m)  $(\omega^{\omega^\omega})^{\omega^{\omega^\omega}} = \omega^{\omega^\omega \cdot \omega^{\omega^\omega}} = \omega^{\omega^{\omega+\omega^\omega}} = \omega^{\omega^{\omega^\omega}}$

g)  $(\omega + 2) \cdot \omega = \omega \cdot \omega = \omega^2$

h)  $(\omega + 2) \cdot (\omega + 2) = (\omega + 2) \cdot \omega + (\omega + 2) \cdot 2 = \omega^2 + \omega \cdot 2 + 2$  [by (g) and (e)]

n)  $(\omega^{\omega^{\omega^\omega}})^{\omega^{\omega^\omega}} = \omega^{\omega^{\omega^\omega} \cdot \omega^{\omega^\omega}} = \omega^{\omega^{\omega^\omega \cdot 2}} = \omega^{\omega^{\omega^\omega \cdot 2}}$

i)  $(\omega + 2)^2 = \omega^2 + \omega \cdot 2 + 2$  [by (h)]

o)  $2^{\omega^2} = (2^\omega)^\omega = \omega^\omega$

*Remark.* It is essential to distinguish between  $2 + \omega$  (which is  $\omega$ ) and  $\omega + 2$  (which is not). One cannot do anything with ordinals without having internalized this distinction (made it a part of oneself).

Some people misremembered various rules of arithmetic, or forgot the special conditions under which they apply. I don't try to memorize them, myself; I figure out what they must be, and I play with them, so that I develop a feeling for *why* they should be true.

**Problem 2.**

- a) State the recursive definition of ordinal addition.  
 b) Prove from this definition that  $0 + \alpha = \alpha$  for all  $\alpha$ .

**Solution.**

- a)  $\alpha + 0 = \alpha$   
 $\alpha + \beta' = (\alpha + \beta)'$   
 $\alpha + \gamma = \sup\{\alpha + x : x < \gamma\}$  if  $\gamma$  is a limit  
 b)  $0 + 0 = 0$ .

If  $0 + \alpha = \alpha$ , then  $0 + \alpha' = (0 + \alpha)' = \alpha'$ .

If  $\beta$  is a limit, and  $0 + \alpha = \alpha$  whenever  $\alpha < \beta$ , then

$$0 + \beta = \sup_{\alpha < \beta} (0 + \alpha) = \sup_{\alpha < \beta} \alpha = \beta.$$

**Problem 3.** Prove that

$$\alpha \cdot (\beta + \gamma) = \alpha \cdot \beta + \alpha \cdot \gamma$$

for all ordinals. Use only the recursive definitions of  $+$  and  $\cdot$ . You may use the normality of the functions  $x \mapsto \alpha + x$  and  $x \mapsto \beta \cdot x$  where  $\beta > 0$ , and you may use the theorem that makes normality useful (as the instructions above suggest).

**Solution.** We use induction on  $\gamma$ .

- i)  $\alpha \cdot (\beta + 0) = \alpha \cdot \beta = \alpha \cdot \beta + 0 = \alpha \cdot \beta + \alpha \cdot 0$ .  
 ii) If  $\alpha \cdot (\beta + \gamma) = \alpha \cdot \beta + \alpha \cdot \gamma$ , then

$$\begin{aligned} \alpha \cdot (\beta + \gamma') &= \alpha \cdot (\beta + \gamma)' \\ &= \alpha \cdot (\beta + \gamma) + \alpha \\ &= (\alpha \cdot \beta + \alpha \cdot \gamma) + \alpha \\ &= \alpha \cdot \beta + (\alpha \cdot \gamma + \alpha) \\ &= \alpha \cdot \beta + \alpha \cdot \gamma'. \end{aligned}$$

- iii) If  $\delta$  is a limit, and  $\alpha \cdot (\beta + \gamma) = \alpha \cdot \beta + \alpha \cdot \gamma$  whenever  $\gamma < \delta$ , then

$$\begin{aligned} \alpha \cdot (\beta + \delta) &= \alpha \cdot \sup_{\gamma < \delta} (\beta + \gamma) = \sup_{\gamma < \delta} (\alpha \cdot (\beta + \gamma)) \\ &= \sup_{\gamma < \delta} (\alpha \cdot \beta + \alpha \cdot \gamma) \\ &= \alpha \cdot \beta + \sup_{\gamma < \delta} (\alpha \cdot \gamma) = \alpha \cdot \beta + \alpha \cdot \delta \end{aligned}$$

*Remark.* The induction must be on  $\gamma$ ; nothing else works. With ordinals, all of the action that we know about from definitions happens on the *right*.

**Problem 4.** Assuming  $\alpha > 1$ , prove that the function  $x \mapsto \alpha^x$  on **ON** is normal.

**Solution.** By definition, if  $\beta$  is a limit, then  $\alpha^\beta = \sup\{\alpha^x : x < \beta\}$ . Therefore it remains to show

$$\beta < \gamma \Rightarrow \alpha^\beta < \alpha^\gamma.$$

We prove this for all  $\beta$ , by induction on  $\gamma$ .

i) The claim is vacuously true when  $\gamma = 0$  [since it is never true that  $\beta < 0$ ].

ii) Suppose the claim is true when  $\gamma = \delta$ . If  $\beta < \delta'$ , then  $\beta \leq \delta$ , so

$$\alpha^\beta \leq \alpha^\delta < \alpha^\delta \cdot \alpha = \alpha^{\delta'}.$$

iii) Suppose  $\delta$  is a limit, and the claim holds when  $\gamma < \delta$ . If now  $\beta < \delta$ , then  $\beta < \beta' < \delta$ , so

$$\alpha^\beta < \alpha^{\beta'} \leq \sup_{\gamma < \delta} \alpha^\gamma = \alpha^\delta.$$

Scores:

	EA	PC	AF	Mİ	MM	OŞ	NT	ÖT
1	10	13	8	2	7	13	13	9
2	2	5	5	2	0	5	5	4
3	0	5	5	0	0	0	5	0
4	2	0	2	0	0	4	2	2
	14	23	20	4	7	22	25	15